

SYNOPSIS OF THE APPLICATION OF THE REGULATORY MEASURES

TYPE OF AWARD			Regulatory items	Phase	Measure	Annexes
TENDER	DIRECT	INTERNAL OPERATOR				
●	●	●	Awarding lots (cf. Decision no. 48/2017)	PRELIMINARY PHASE	2	
●	●	●	Drawing up of RoA		2	
∅	∅	∅	Content of public service contracts		2	Annex 2
●	●		Rules for capital goods and rolling stock		3 ÷ 10	
●	●	●	Regulatory accounting and accounting separation		11-12	Annex 3
O	O	O	Risk identification/allocation (Matrix)		13	Annex 4
O			Simulated EFP for awards by tendering procedure		14	Annex 5
	O	O	Simulated EFP for direct awards		15	Annex 5
∅	∅	∅	Determination of efficiency and effectiveness targets		16	Annex 7
∅	∅	∅	Reasonable profit margin (WACC)		17	
∅	∅	∅	Information available to interested carriers	PARTICIPATION IN AWARD PROCEDURES	18	Annex 6
●			Requirements for participation		19	
●			Awarding criteria		20	
●			Transfer of personnel		21	
O			EFP-competitor		22	Annexes 4 and 5
●			Deadlines for submission of tenders		23	
●			Tender Evaluation Committees		24	
●	●	●	Monitoring of performance		25	
O	O	O	Economic equilibrium test		26	
∅	∅	∅	Fare updating		27	
●	●	●	Contract review and renegotiation		28	

KEY

- : the regulatory measure applies regardless of the type of award chosen (public procurement - concession)
- ∅: the regulatory measure varies according to the form of the type of award chosen (public procurement - concession)
- O: the regulatory measure applies only to the concession